REPORT OF THE
JOINT SUBCOMMITTEE STUDYING

Mediation of
Child Support, Custody,
and Visitation

TO THE GOVERNOR AND
THE GENERAL ASSEMBLY OF VIRGINIA

House Document No. 41

COMMONWEALTH OF VIRGINIA
RICHMOND
1988
Members of Subcommittee

Franklin M. Slayton
W. Tayloe Murphy, Jr.
Kenneth R. Melvin
Johnny S. Joannou
Joseph B. Benedetti
Honorable Walter B. Fidler
Honorable John M. White, Jr.
Ms. Jan Curtis Reed
Betty A. Thompson, Esquire

Staff

Legal and Clerical

Susan C. Ward, Staff Attorney
E. Gayle Nowell, Research Associate
Sherry M. Smith/Joyce B. Crone, Executive Secretaries
To: Honorable Gerald L. Baliles, Governor of Virginia, and

The General Assembly of Virginia

AUTHORITY FOR THE STUDY

House Joint Resolution No. 246, agreed to by the 1987 Session, directs a joint subcommittee to study issues related to the mediation of child support, custody and visitation. The study is to include an investigation of the quality and effectiveness of mediation services in the Commonwealth, availability and coordination of these services, and standards for programs and for education and training of mediators. The joint subcommittee is also directed to consider certain legal issues raised by mediation, including the binding nature of such agreements, confidentiality of information revealed, liability of mediators, and court-ordered participation. The joint subcommittee is to consult with the judiciary, the Bar and existing mediation services in the Commonwealth. The study is to be completed by November 15, 1988, with the submission of an interim report to the 1988 Session of the General Assembly (see Appendix).

INTRODUCTION

Mediation can be defined as the process by which the disputants themselves attempt to reach a mutually satisfactory agreement on issues in dispute with the assistance of a neutral party or parties. It is not intended to be therapeutic; it is goal-oriented, looking toward resolution rather than at causes of conflict. It differs from arbitration in that the resolution is that of the disputants, while arbitration is adjudicatory, with the neutral third party deciding on a binding resolution of the issues.

Mediation was first widely used as an alternative dispute resolution technique in labor-management disputes. It was useful in this arena in which relationships are long term and future cooperation is essential, in contrast to situations in which it may be appropriate to assign fault and designate a winner and loser who will have no future dealings with each other. The use of mediation in domestic relations as an alternative to litigation developed with the advent of no-fault divorce in the early 1970's. In 1985, there were about 300 divorce mediation services in eighteen states. Services were provided by statute or court rule in
Alaska, Delaware, Iowa, Maine, Michigan, Oregon and California; mediation is mandatory in California, Delaware and Maine.

MEDIATION IN VIRGINIA

Mediation services are provided in Virginia in a variety of settings. There are nineteen programs offered through court service units, utilizing seventy trained mediators who are probation officers, supervisors, intake officers and family counselors. Judges usually provide referrals to these services. Some courts contract with local departments of social services for mediation. These programs usually focus on custody and visitation matters rather than financial support. Mediation services are also provided by nonprofit programs receiving private, grant or local funding. Clients are referred by the court or by the private bar. Several profit-making programs are also providing mediation services.

The Governor's Commission on Child Support in 1985 recommended mandatory availability of mediation and counseling services throughout the state as a means of reducing separation trauma for children and their parents by promoting parental cooperation and encouraging future compliance with custody, visitation and support arrangements. The recommendation was one of several formulated to approach the ultimate goal of serving the best interests of the child by providing him with nurturing care through access to both parents. The Commission suggested that existing voluntary programs should serve as models for a statewide system.

ACTIVITIES OF THE JOINT SUBCOMMITTEE

The joint subcommittee met three times during 1987. Mediators practicing in a variety of settings described their programs and addressed mediation issues. Mediators appearing before the joint subcommittee included JoAnn Jackson of the Sixteenth District Juvenile Court Service Unit in Charlottesville. Karen Asaro of the Virginia Beach Department of Social Services described her agency's mandatory mediation program, begun in 1980 in cooperation with the local judiciary. Representatives of the Community Mediation Center, a community-supported program in Harrisonburg, described that unique program. Representing private mediation programs, Taswell Hubard described his divorce mediation activities within his law practice in Norfolk, and Emily Brown, licensed clinical social worker and Director of the Divorce and Marital Stress Clinic in Arlington, reviewed the mediation activities of her program and addressed mediation issues. Ms. Brown, chair of the Education Committee for the Academy of Family Mediators, also discussed the issue of training standards for mediators.

The joint subcommittee consulted with the Virginia Mediation Network, organized two years ago as a vehicle for professionals to interact on mediation policies and procedures. The Network now includes 400 members, including attorneys, private practitioners, family therapists, court service workers, and social workers. The Network's resources, particularly its survey of mediation policies and procedures statewide and its national survey of mediation legislation, have assisted the joint subcommittee.
The joint subcommittee invited members of the judiciary to relate their experiences with child custody and support mediation and to comment on mediation issues. Appearing before the joint subcommittee were the Honorable Beverly Bowers of the Rockingham County Juvenile Court, the Honorable Jannene Shannon of the Charlottesville Juvenile Court, and the Honorable Marvin Garner of the Chesterfield Juvenile Court.

The joint subcommittee solicited the comments of members of the Bar. The Virginia State Bar and its Family Law Section and the Virginia Bar Association and its Domestic Relations Committee have been notified of the joint subcommittee's activities. The joint subcommittee heard testimony from Frank Morrison, a Lynchburg attorney with a family law practice. Mr. Morrison serves as a substitute judge in the juvenile court and as commissioner in chancery in Lynchburg and served on the Bar Council's Legal Ethics Committee, on which he participated in the writing of ethical opinions on mediation by attorneys. Mr. Morrison is also trained as a mediator by the Academy of Family Mediators. The joint subcommittee also heard the comments of Mr. Richard Balnave, Professor of Law at the University of Virginia and Director of the Virginia Dispute Resolution Center, supported by the Virginia Bar Association and the Virginia State Bar Joint Committee on Dispute Resolution.

Two couples who mediated their child custody agreements when they divorced shared their experiences with and impressions of mediation with the joint subcommittee.

FINDINGS OF THE JOINT SUBCOMMITTEE

Participants in the mediation process have cited numerous benefits of mediation over litigation in resolving custody, visitation and support issues. Mediation encourages communication between disputants and allows them to reach their own agreements rather than having decisions imposed upon them. Studies have thus found that visitation and support elements of mediated custody agreements are more often complied with than those reached in litigated cases. The Denver Custody Mediation Project found partial or total compliance in 80% of its cases. Dane County, Wisconsin, reported that between 1976 and 1978, 34.3% of families determining custody traditionally returned to the court, while only 10.5% of mediation families returned. A study reported in 1987 by the University of Virginia compared families who were randomly assigned to mediation or to litigation to resolve divorce issues. The study showed that mediation partners reported that at intervals up to a year after settlement their relationship had improved, they were more satisfied with the settlement process and viewed it as fairer, and they believed mediation to be less biased and more suited to the family than adversary procedures. The joint subcommittee received testimony that in Chesterfield County, only about 5% of parties mediating custody returned within one year after entry of the order. The Community Mediation Center in Harrisonburg reported compliance with 90% of the agreements reached in its program after three months.

Mediation's nonadversarial, neutral, future-oriented nature can discourage faultfinding and preserve future relationships. Therefore, parties are better equipped to resolve future disputes themselves. The
adjustment of children whose custody is at issue is believed to be enhanced through the promotion of parental cooperation and the reinforcement of parent-child bonds. Mediation allows privacy in reaching an agreement, keeping family issues within the family. At the same time, each discipline is allowed to do what it does best—attorneys representing the parties in mediation can advocate, the mediator can deal with long-term relationships of the parties and the judicial officer can oversee the process and provide a decision should mediation fail.

Mediation may be particularly well-suited to the resolution of child-related divorce issues because of the difficulty in application of the accepted standard of the best interests of the child. The standard is vague and subjective, requiring judges to make difficult predictions and measurements of character. Use of sex-neutral standards rather than the traditional maternal preference standard has further complicated judicial decision-making in child custody cases. Also, judges and attorneys are not necessarily trained to recognize or deal with the psychological aspects of divorce. Mediation can provide an expanded role for experts in this area. Some parents believe that judges' decisions regarding their child's best interests are infused with the judge's own biases and values. The information provided by each participant in the mediation process can assist parents in reaching their own decision and thus one which they believe to be fair.

Cost savings of mediation over litigation have been documented. Two localities in California, where mediation is mandatory, researched outcomes. San Francisco found that from 1977 to 1980, full custody hearings diminished from 275 per year to three per year. No mediated case returned for modification or enforcement. Los Angeles saved more than $280,000 in litigation costs in 1979 and saved $990,000 in 1982. In 1978, a Los Angeles study showed that mediation took about three hours at $20.50 per hour, while the cost of a trial court was $725 per day; each dispute resolved by mediation saved about half a court day. The Denver mediation project in 1980 found that bench time and custody investigations cost the state about $1600 per case, while mediation cost about $135 to $270 per case. The studies showed that awards continued to favor the mother, so results were believed to be substantially the same as those reached by the court.

The joint subcommittee heard testimony from a juvenile court judge suggesting that mediation may decrease the number of CHINS petitions filed because the process returns to parents the power they lose to their children when parents are fighting over child custody.

The Virginia Department for Children recently surveyed seventy-five circuit court judges and seventy-five attorneys in the Family Law Section of the Virginia State Bar, with a 65% response rate. The Department found that 80% of the judicial respondents support the use of mediation in resolving custody disputes and believe that mediation should be made available in all localities in Virginia. Fifty-five percent support statutorily authorizing the court to order mediation in its discretion. The attorneys were open to the concept of mediation, but believed that it should be approached with caution, preserving the discretion of the judge to determine when it will work.
The advantages of mediation were described for the joint subcommittee by two couples who described their experiences with mediation. The couples reported that they reached agreements that they believed were better than arrangements which would have been forged in court, where the judge would only have been marginally acquainted with their families. The couples both started out with some hostility and disagreement on basic issues. They reached agreements that satisfied them and their children, have abided by them, and have resolved subsequent issues themselves.

Problems with mediation have been identified. There is concern that parties may enter into enforceable contracts without full information regarding their legal rights when they are not represented by an attorney other than a mediator. Mediation poses a risk of dominance of one party over the other. Without prescribed training and certification standards for mediators, disputants may retain the services of an incompetent mediator. Certain legal ethical issues are raised, such as whether nonattorney mediators are engaged in the unauthorized practice of law, whether attorneys are in violation of proscribed business relations with nonattorney mediators, conflicts of interests for attorneys mediating with two disputing parties, and confidentiality issues. Mediation may not be appropriate in all custody cases, and its inappropriate use may prolong the divorce process to the detriment of all parties, including children, or lead to harmful agreements.

After several months of study, including review of literature and receipt of testimony from participants in the mediation process, the joint subcommittee has specified a number of important issues raised by the practice in the context of domestic dispute resolution which require consideration and some resolution before it can formulate a responsible proposal regarding use of mediation. These issues are discussed in detail below.

Appropriateness of Mediation

Mediation may not be appropriate in all contested custody cases. Some mediators have specifically suggested that it not be attempted in cases involving child abuse or neglect; multiple social agency or psychiatric contacts for adults or children; long-standing bitter conflict between parties and repeated court appearances in the past; serious psychiatric problems; or erratic, violent or very anti-social behavior. Perhaps any mediation statute proposed should specifically exempt such cases. Mediation may also not be useful when parties cannot negotiate in good faith or there is a power imbalance between the parties. This raises a question as to whether support issues are too intertwined with custody issues to justify their separation. Some mediators addressing the joint subcommittee do not exclude all clients with these problems from mediation. They believe that power imbalances can be equalized by a competent mediator. They also see apparent passivity as power in some cases in which there initially appeared to be a power imbalance. In abuse situations, they note that mediation may help to control abuse if the safety of the parties can be ensured. Some states exclude financial matters from mediation, authorizing negotiation of custody and visitation issues only.
Qualifications of Mediators

Mediators are generally attorneys, social workers or mental health professionals. Some programs use two mediators for quality control and to avoid the confusion of unnecessary relationships; others use one to save money and to avoid a partnership between an attorney and a mental health professional can be effective when the latter mediates and the attorney participates when needed to provide legal information, describe options and draft the agreement. While mediators addressing the joint subcommittee found the latter arrangement a luxury few programs could afford, they did generally encourage an interdisciplinary approach.

The joint subcommittee is concerned that there are currently no established training or licensing requirements for mediators. Two national professional groups—the Family Mediation Association and the Academy of Family Mediators—suggest standards, but affiliation is not a prerequisite for practice. The Family Mediation Association gives a certificate after a five-day course and 250 hours of practice. In contrast, Catholic University operates a two-year post-graduate program in family mediation for which applicants must have a graduate degree or equivalent certification in a legal, mental health or human service field and two years of related professional experience. California's mandatory mediation statute requires mediators to have a master's degree in psychology, social work or marriage, family or child counseling; these requirements also apply to attorney/mediators. Whatever standards are appropriate, mediators arguably should possess a working knowledge of divorce law, financial matters and psychological theory as well as good conflict resolution skills. Standards for practice have been developed by both the American Bar Association and the Association of Family and Conciliation Courts. The standards govern conduct but do not include detailed criteria for education and training.

Mediators addressing the joint subcommittee believed generally that the specification of training and certification standards for mediators is premature, as there is no emerging national consensus yet on what these standards should be. The suggestion was offered, however, that if mediators are licensed or certified, they should be regulated as a new profession and not as part of an existing discipline.

The joint subcommittee is interested in the relative benefits of mediation in court service units, departments of social services and in private programs. It appears that each of these settings provides a useful resource in different situations. The court service unit was recognized as an appropriate setting for diversion from the court and accessible to people with domestic relations disputes. However, a preference for any one setting over another raises funding issues because of limited resources.

Certain legal ethical issues are raised by the involvement of attorneys in mediation. Whether or not an attorney/mediator is representing both sides of a controversy and is, therefore, caught in a conflict of interests depends on the definition of a lawyer-client relationship. There may not be a conflict if such a relationship is not recognized in mediation and if the attorney/mediator does not represent
either party in a later proceeding. Another issue raised is whether or not attorneys who mediate with mental health professionals are violating the ethical prohibitions against partnerships with nonlawyers and thus jeopardizing their independent professional judgment. Ethical Opinions in Virginia and around the country and the Model Code of Professional Responsibility seem to agree that it is appropriate for lawyers to act as mediators if they explain to their clients that they are not representing either party and that each party should have his own attorney.

Participants in the Process

Affected persons other than the parties to the divorce include the children, grandparents and stepparents. Factors requiring consideration regarding participation of children whose custody is at issue include their level of maturity and the likelihood that it will enhance or harm their relationships with both parents. Mediation clients addressing the joint subcommittee found their adolescent son's participation in one session to be useful to the family. California's statute authorizes participation of natural or adoptive parents who are not parties to the proceedings or of any person seeking visitation who has had a significant role in a child's life, including stepparents and grandparents. The Virginia Beach mediation program includes parties other than the parents in mediation sessions.

The joint subcommittee discussed whether the parties' attorneys should participate in the proceedings or just review the agreement reached. Most of the mediators addressing the joint subcommittee do not include attorneys in sessions but keep them apprised of progress throughout the process.

Mandatory Mediation

It has been argued that the success of a consensual agreement such as one reached in mediation depends on voluntary participation. However, California has been requiring couples to attempt mediation before litigating divorce issues for a number of years, with reported success. Virginia Beach is mandating mediation in all cases, with the same success rate as voluntary programs have shown. Representatives of three mediation programs, two of which were voluntary and one mandatory, all reported to the joint subcommittee that they reached agreements in 70-75% of cases. An evaluation of the Kansas practice of requiring that parties attempt mediation before being heard in court showed that in the first twenty-four months of the program, 71% of the 293 families with whom the court staff had contact were able to resolve custody issues outside the court.

Voluntary participation has also been recommended in order to avoid the appearance that power which is constitutionally vested in the court alone has been transferred to a mediator.

Some states have established incentives to parties to mediate. Michigan provides a fee discount if a mediated agreement is presented when the complaint is filed. California provides an expedited calendar for mediated divorce agreements.

Judges, mediators and mediation clients recommended to the joint subcommittee that the court be authorized to require at least one mediation
session. The mediator and the parties could then decide if mediation will work for them. Such a requirement, they believe, would involve many couples in the process who are good candidates but who do not know about the process or who would not be inclined to volunteer. While it was suggested that judges should be authorized to order participation in mediation, none addressing the joint subcommittee was comfortable with the imposition of penalties for noncompliance. It was also emphasized that only participation should be required and that it should be made clear to participants that it was not required that an agreement be reached.

Confidentiality

The success of mediation depends to some extent on assurances that communications within the process will remain confidential. States have encountered problems with protecting communications against compulsory process during subsequent litigation, particularly when mediation fails and the court must decide custody issues. Without a statutory privilege attached to communications, they must be protected by evidentiary rules governing settlement negotiations or the lawyer-client privilege; these means have not always been effective. It has been suggested that a statute may be preferable which protects all communications made in mediation except when disclosure is necessary to enforce the mediated agreement or to prove breach of one party's obligation to another in the course of mediation. Virginia has no such statute. Most practitioners addressing the joint subcommittee execute an agreement with their clients that they will not call the mediator to testify and that records, offers or stipulations coming out of mediation are inadmissible in court in later proceedings. Mediators would be required by law to respond, however, if they were subpoenaed, so these agreements are incomplete protection. Most mediators had not had confidentiality problems in practice, however.

Judicial Recognition of Mediated Agreements

The mediation process should be structured to encourage courts to give maximum deference to mediated agreements. Courts have frequently reviewed such agreements very closely in furtherance of their parens patriae obligations, some reviewing them de novo. Such review can lengthen the decision-making process rather than expedite it. Policy considerations may include identification of the basis of an inquiry into the agreement, balancing parens patriae duties against public and family interests in parents making their own decisions. It has been suggested that the appropriate basis of inquiry may be how well the agreement promoted and protected the child's interests. The court could base its judgment on a retainer agreement which spells out the legal standard used as well as all other procedural issues, such as what issues will be mediated, who will participate, when parties can withdraw, legal representation of parties, review of agreement by counsel, and modification procedures. It has been suggested to the joint subcommittee that the appropriate place for intervention is in setting standards of mediators rather than in reviewing the agreements. Judges addressing the joint subcommittee note that the agreements reached in mediation merit full recognition by the court as a valid enforceable order; one judge singled this out as an issue which may require legislative action.
Modification of Agreement

Because custody and support agreements are likely to change over time, provisions for modification merit consideration. The agreement itself can establish a procedure for modification. It may include a hierarchy of methods, starting with a private conference and proceeding to mediation, arbitration, then litigation as each preceding method fails. The agreement can include provision for automatic review when one party moves, remarries, or experiences financial or other relevant changes. Testimony before the joint subcommittee has indicated the parties may be less likely to need these provisions when they mediate. They are able, with their new skills and improved relations, to resolve future issues themselves.

When Mediation Fails

Some programs arbitrate issues which cannot be resolved by mediation. Thus, either the mediator or a different arbitrator would make a decision based on information from the parties. California's statute authorizes the mediator to make a recommendation to the court when the process breaks down, combining mediation and evaluation functions; this method poses problems of confidentiality when cross-examination of the mediator is allowed. It was suggested to the joint subcommittee, however, that confidentiality should take precedence over the need for providing a recommendation to the court. Delaying the hearing or bringing in a second mediator or the attorneys or therapists involved with the parties may be preferable to arbitration or a recommendation to the court.

Funding Mediation Programs

States have funded mediation programs innovatively, some using increases in filing fees. California increased by $15 its divorce filing fee and the fee for a motion to modify or enforce a custody or visitation order and increased the marriage license fee by $5, earmarking the funds for use by county family courts for mediation services. At the urging of civil liberties groups, in forma pauperis was liberalized to protect the poor from prohibitive court costs.

Public mediation programs are now limited in their ability to provide mediation to everyone who may want services. This is especially an issue if mediation is mandated by statute. Many court service units and departments of social services are providing services through employees with other responsibilities. The joint subcommittee will use the results of surveys now being undertaken to assess the availability of mediation statewide. Such surveys are being conducted by the Virginia Dispute Resolution Center at the University of Virginia and by the Virginia Mediation Network. The results of these surveys will be useful in determining the cost of expanding mediation services.

PLAN FOR COMPLETION OF THE STUDY

The joint subcommittee will complete its work in 1988 and submit its recommendations to the 1989 Session of the General Assembly. While the
joint subcommittee will continue its consideration of all relevant issues as necessary, it has designated the following three issues for closer study and resolution to the extent possible:

Confidentiality

As noted earlier in this report, mediators and their clients may not be protected adequately from compulsory process in subsequent litigation. Mediators have specified this issue as one that they believe should be given immediate legislative attention. The American Bar Association and the Virginia State Bar's and Virginia Bar Association's Joint Committee on Dispute Resolution have researched this issue thoroughly and have offered to assist the joint subcommittee in its deliberations.

Mandating Mediation

Judges, mediators and clients have recommended to the joint subcommittee that at least one mediation session be required by statute in custody matters before the court may hear the case. It has also been argued, however, that mediation's consensual nature requires that it be voluntary. There is currently no statutory authority for judges to order mediation even on a case-by-case basis. Mandatory mediation also raises issues of funding and sanctions for noncompliance.

Training of Mediators

The joint subcommittee is concerned with the lack of any minimum standards to govern the practice of mediation. Witnesses noted that more study is needed on a statewide and national level before training and certification standards can be specified for mediators. The Joint Committee on Dispute Resolution and the Academy of Family Mediators have specifically offered assistance to the joint subcommittee in this effort.
REFERENCES


American Bar Association. "Standards of Practice for Lawyer-Mediators in Family Disputes."


Office of the Executive Secretary, Supreme Court of Virginia. Family Mediation Programs in Virginia: A Status Report for Juvenile and Domestic Relations Court Judges. (April, 1986).


HOUSE JOINT RESOLUTION NO. 246

Requesting a joint subcommittee to study mediation of child support, custody and visitation.

Agreed to by the House of Delegates, February 8, 1987
Agreed to by the Senate, February 19, 1987

WHEREAS, the judiciary, the Virginia State Bar and citizens' groups have questioned whether the court system's adversarial approach is effective or appropriate to settle family disputes concerning child support, custody and visitation; and

WHEREAS, mediation, the process by which persons negotiate and reach mutually satisfactory agreements with the assistance of neutral parties, is being used with increasing frequency in Virginia and in other states to resolve family disputes; and

WHEREAS, court service units, local departments of social services and private mediation programs now operating in Virginia report success in mediating child support, custody and visitation issues; and

WHEREAS, a settlement negotiated through mediation may be more readily accepted by disputants, can build understanding and trust among disputants, may be less expensive than litigation, and can encourage parties to negotiate in future disputes; and

WHEREAS, certain legal questions are raised concerning mediation, including the court's authority to compel parties to participate, the binding nature of such agreements, confidentiality regarding information revealed by the parties, liability of mediators, and the need for review of agreements by legal counsel; and

WHEREAS, in spite of the number of programs now existing in Virginia, there are no uniform standards for education and training nor a licensing procedure for mediators; now, therefore, be it

RESOLVED by the House of Delegates, the Senate concurring, That a joint subcommittee be created to study issues related to the mediation of child support, custody and visitation. The joint subcommittee shall investigate the quality and effectiveness of mediation services available in the Commonwealth; coordination among these services; need for expanded services; standards for programs and for education and training of mediators; legal problems raised, especially court-ordered participation, the binding nature of settlements, confidentiality of information revealed and liability of mediators; and other issues it deems relevant.

The joint subcommittee shall consult with the judiciary, the bar, and with existing mediation programs in the Commonwealth, including those administered by local courts, local departments of social services, and private nonprofit and profit-making services.

The joint subcommittee shall consist of nine members as follows: one member each from the House Committee for Courts of Justice, House Committee on Health, Welfare and Institutions, and House Committee on Appropriations to be appointed by the Speaker of the House of Delegates; one member each from the Senate Committee for Courts of Justice and the Senate Committee on Rehabilitation and Social Services, to be appointed by the Senate Committee on Privileges and Elections; and two juvenile and domestic relations district court judges, one court service representative and a representative of the Virginia State Bar to serve as citizen members, all to be appointed by the Speaker.

The joint subcommittee shall submit an interim report to the 1988 Session of the General Assembly and shall complete its work by November 15, 1988.

The indirect costs of this study are estimated to be $17,835; the direct costs shall not exceed $11,340.